

Moser's *Algorithmic* LLL

Presented by Zhiwei Zhang
Sep 6th, 2018

k-CNF

- conjunctive normal form:
 $\mathbf{k}$ -CNF $\phi = C_1 \wedge C_2 \wedge \cdots \wedge C_m$
- m clauses, n Boolean variables
- each clause $C_i = l_{i_1} \vee l_{i_2} \vee \cdots \vee l_{i_k}$
is a disjunction of **exact k** literals
- **degree d**: each clause shares variables
with at most **d** other clauses

Lovasz Local Lemma (symmetric version)

“bad events”: $B_1, B_2, \dots, B_n$

each one happens with probability p and
is dependent on at most d other events

if $4pd \leq 1$

then

$$Pr[\overline{B_1} \wedge \overline{B_2} \wedge \dots \wedge \overline{B_n}] > 0$$

k-CNF

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- **degree d**: each clause shares variables with at most **d** other clauses

What if $d \leq 2^{k-2}$?

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Bad event: C_i is unsatisfied

$$p = \Pr[C_i \text{ is unsatisfied}] = 2^{-k}$$

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$$d \leq 2^{k-2} \quad \longleftrightarrow \quad 4pd \leq 1$$

(LLL condition)

$\longrightarrow \Pr[\phi \text{ is satisfied}] > 0$

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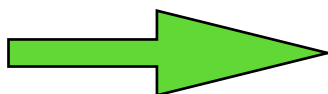
 $\Pr[\phi \text{ is satisfied}] > 0$

 $\exists$ satisfying assignment for ϕ

Algorithmic LLL

ϕ : k-CNF of max degree d with m clauses on n variables

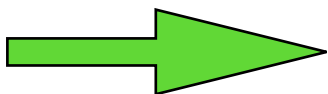
Theorem

$d \leq 2^{k-2}$  $\exists$ satisfying assignment for ϕ

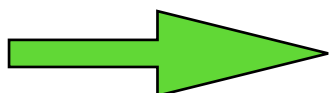
Algorithmic LLL

ϕ : k-CNF of max degree d with m clauses on n variables

Theorem

$d \leq 2^{k-2}$  $\exists$ satisfying assignment for ϕ

Theorem (Moser, 2009)

$d \leq 2^{k-3}$  satisfying assignment can be found in $O(n + km \log m)$ w.h.p.

ϕ : k-CNF of max degree d with m clauses on n variables

Solve(ϕ)

pick a random assignment

$x_1, x_2, \dots, x_n;$

while exists unsatisfied clause C

Fix(C)

Fix(C)

replace variables in C with random values

while exists unsatisfied clause D overlapping with C

Fix(D)

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Observation: In Solve(), for every clause C, Fix(C) can be called for only once.

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Observation: In Solve(), for every clause C, Fix(C) can be called for only once.

of top-level calls to Fix(C): $\leq m$ (# of clauses)

total # of calls to Fix(C) (including recursive calls): t

ϕ : k-CNF of max degree d with m clauses on n variables

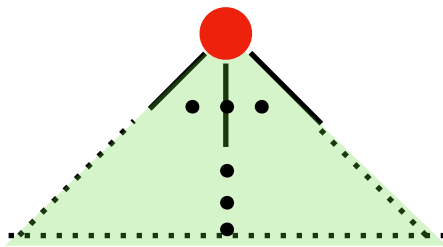
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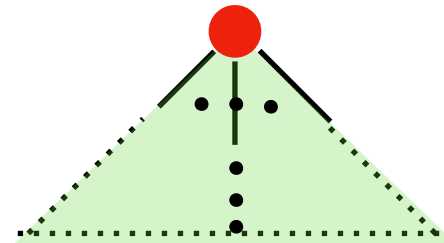
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Fix(D)

$\leq m$ recursion trees



...

total # nodes: t

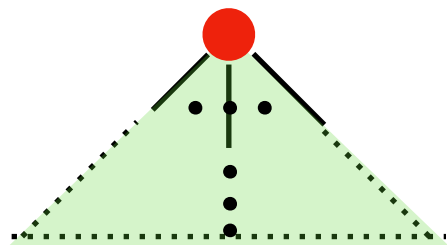


total # of random bits: $n + tk$ (assigned bits)

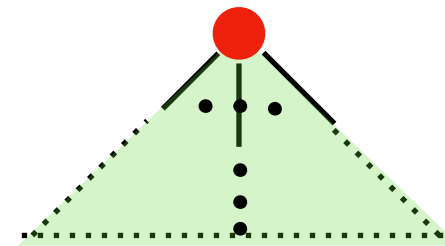
Encode the recursion trees/running log

$\leq m$ recursion trees

total # nodes: t



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the recursion trees can be encoded to:

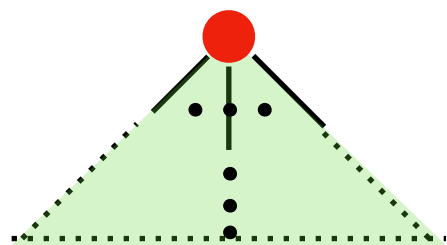
for each recursion tree:

root: $\lceil \log_2 m \rceil$ bits

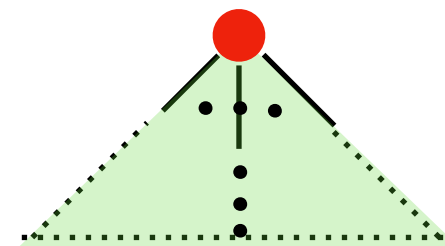
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$\leq m$ recursion trees

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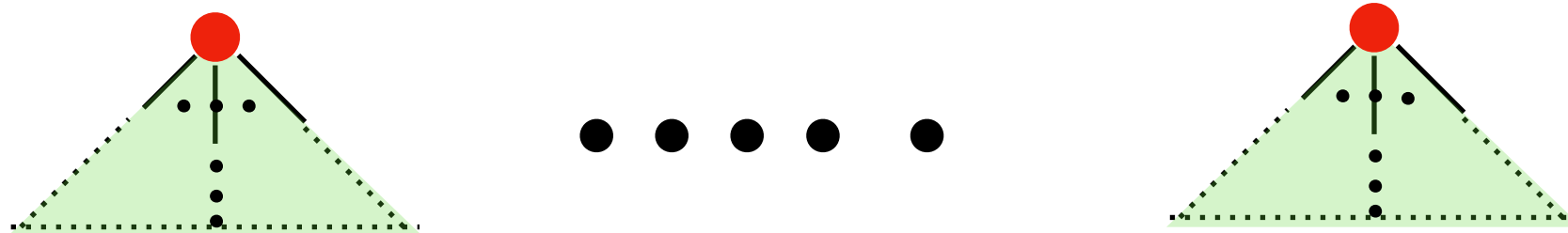
for each recursion tree:

root: $\lceil \log_2 m \rceil$ bits

each internal node: $\log_2 d + O(1)$ bits

Encode the recursion trees/running log

$\leq$ recursion trees total # nodes:



total # of random bits: $n + tk$ (assigned bits)

the recursion trees can be **encoded** to:

$$\mathbf{m} \lceil \log_2 m \rceil + \mathbf{t} (\log_2 d + O(1))$$

for each recursion tree:

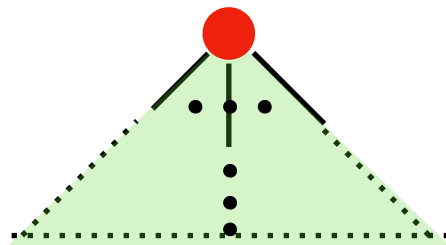
root: bits

each internal **node:** bits

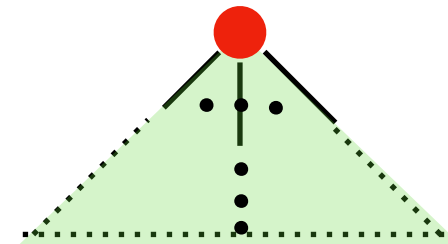
Recover the sequence of random bits

$\leq m$ recursion trees

total # nodes: t



...



total # of random bits: $n + tk$ (assigned bits)

the sequence of random bits can be recovered from:

final assignment:

n bits

+

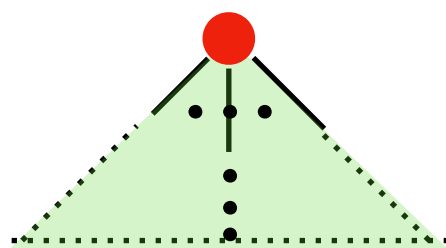
recursion trees
/running log:

$\leq m \lceil \log_2 m \rceil + t (\log_2 d + O(1))$ bits

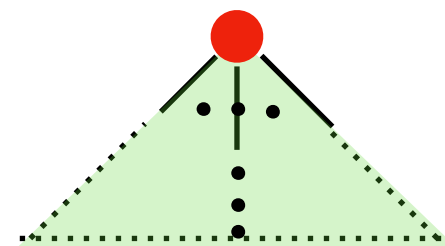
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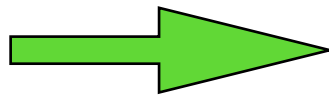
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recursion trees
/running log:

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Observation: Fix(C) is called 
assignment of C is uniquely determined

Demonstration

Solve(ϕ)

pick a random assignment
 $x_1, x_2, \dots, x_n$;
while exists unsatisfied clause C

Fix(C)

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replace variables in C with random values
while exists unsatisfied clause D overlapping with C

Fix(D)

$$A = \neg x_1 \vee x_2 \vee x_3$$

$$B = \neg x_2 \vee x_3 \vee x_4$$

$$C = x_1 \vee x_2 \vee x_4$$

$$\phi = A \wedge B \wedge C$$

$$\phi(0, 1, 0, 1) = 1$$

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Log

start

Fix(A)

Fix(B)

Fix(C)

end

0 1 0 1

Solution

**Random Bits Assigned
in Fix()**

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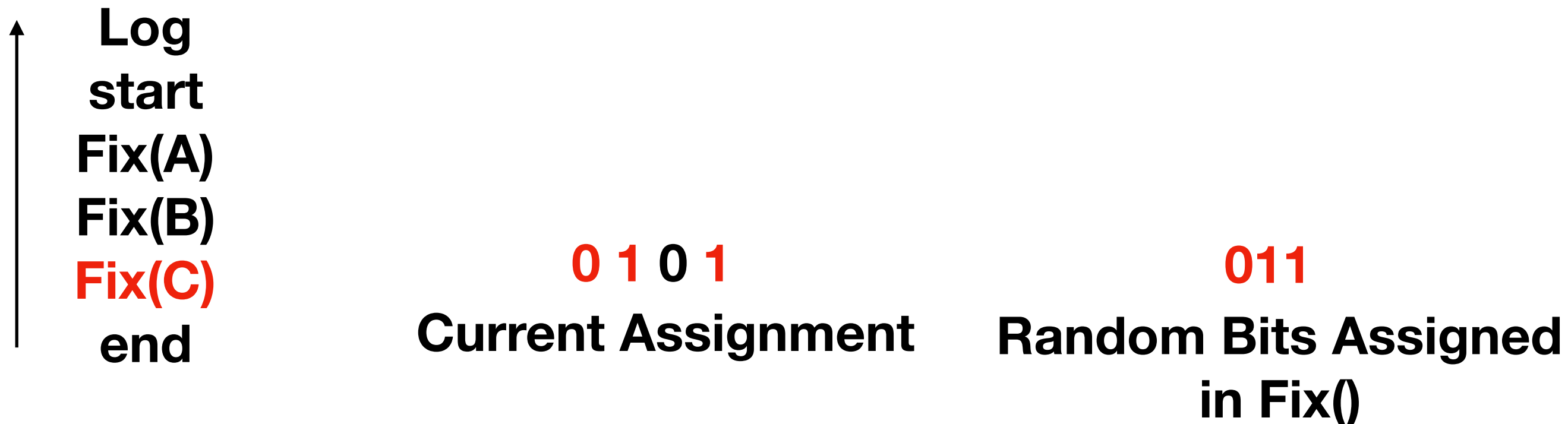
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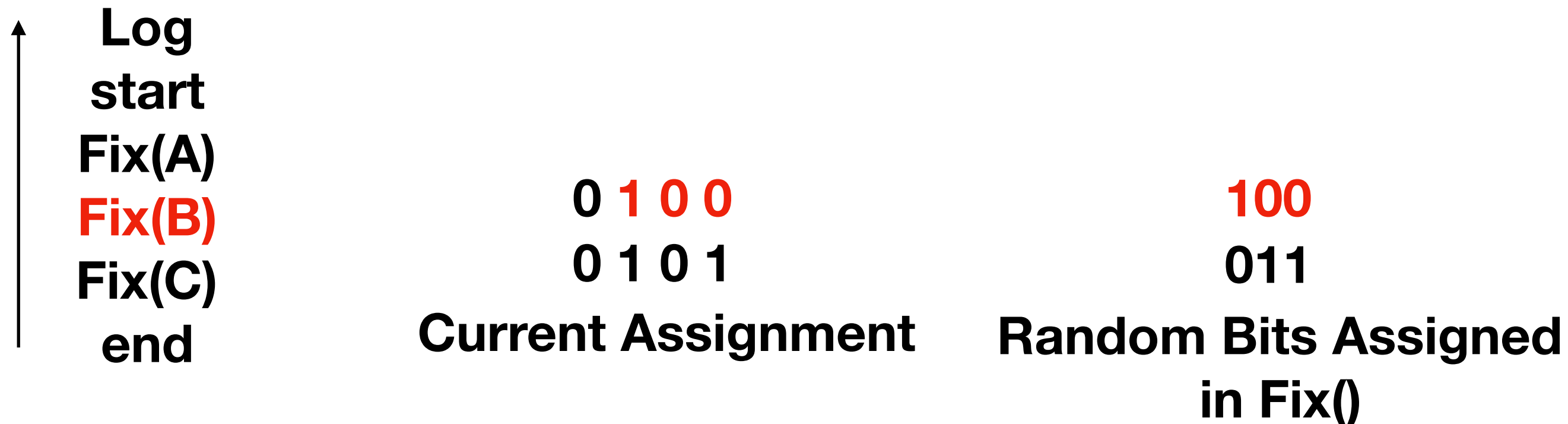
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↑	Log		
	start		
	Fix(A)	0 1 0 0	
	Fix(B)	0 0 0 0	000
	Fix(C)	0 1 0 1	011
	end	Current Assignment	Random Bits Assigned in Fix()

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$$\phi(0, 1, 0, 1) = 1$$

↑	Log	1 0 0 0	
	start	0 1 0 0	010
	Fix(A)	0 0 0 0	000
	Fix(B)	0 1 0 1	011
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Log

start

Fix(A)

Fix(B)

Fix(C)

end

0 1 0 1

1 0 0 0

010

000

011

Recover



**All random bits used
in Solve() and Fix()**

Log+ Final Solution

Demonstration

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Log

start

Fix(A)

Fix(B)

Fix(C)

end

0 1 0 1

1 0 0 0

010

000

011

Recover



**All random bits used
in Solve() and Fix()**

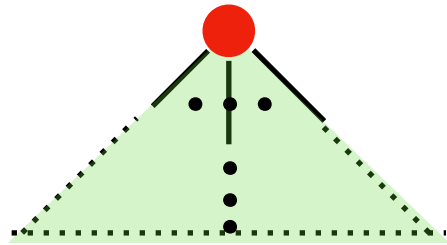


Encode

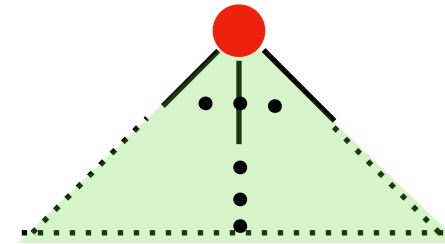
Log+ Final Solution

$\leq m$ recursion trees

total # nodes: t



...



total # of random bits: $n + tk$ (assigned bits)

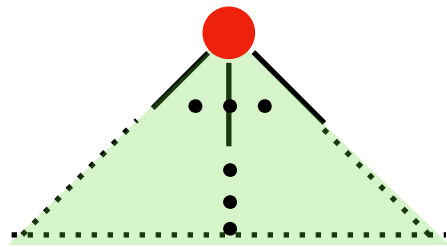
**All random bits used
in Solve() and Fix()**

is encoded to:

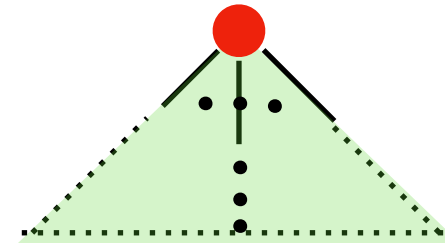
Log+ Final Solution: $n + m \lceil \log_2 m \rceil + t(\log_2 d + O(1))$ bits

$\leq m$ recursion trees

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the sequence of random bits is encoded to:

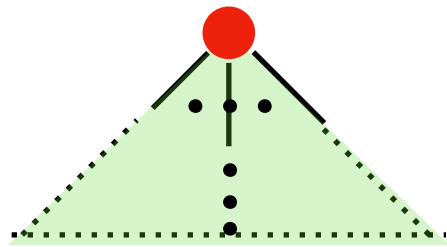
$$n + m \lceil \log_2 m \rceil + t(\log_2 d + O(1)) \text{ bits}$$

Incompressibility Theorem (Kolmogorov)

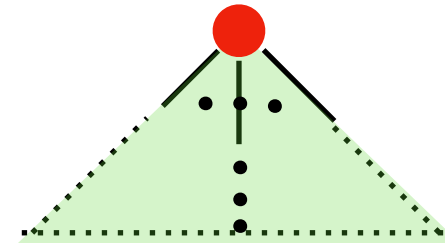
N uniform random bits cannot be encoded
to less than $N-1$ bits with probability $1 - O(2^{-l})$

$\leq m$ recursion trees

total # nodes: t



...



total # of random bits:

(assigned bits)

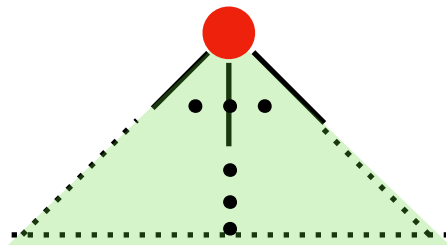
the sequence of random bits is encoded to:

bits

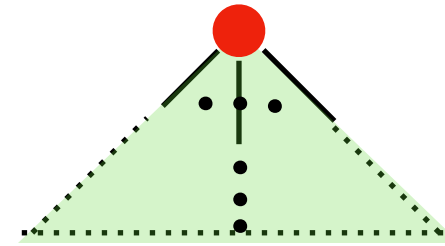
$$n + tk \leq n + m \lceil \log_2 m \rceil + t(\log_2 d + O(1)) \text{ w.h.p.}$$

$\leq m$ recursion trees

total # nodes: t



...



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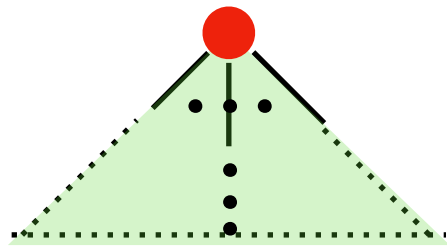
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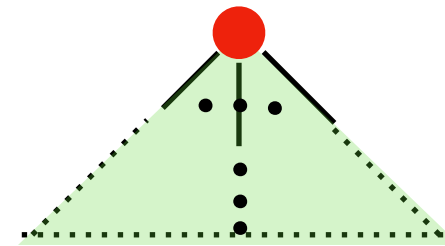
$$\text{when } d < 2^{k-c} \quad \longrightarrow \quad t \leq \frac{m \log_2 m}{c - O(1)}$$

$\leq m$ recursion trees

total # nodes: t



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total # of random bits:

(assigned bits)

the sequence of random bits is encoded to:

$$n + m \lceil \log_2 m \rceil + t(\log_2 d + O(1)) \text{ bits}$$

$$n + tk \leq n + m \lceil \log_2 m \rceil + t(\log_2 d + O(1)) \text{ w.h.p.}$$

$$\text{when } d < 2^{k-c} \quad \longrightarrow \quad t \leq \frac{m \log_2 m}{c - O(1)}$$

total running time(total assigned random bits):

$$n + tk = O(n + km \log_2 m)$$

length of bits

length of assignment random bits

$$n + tk$$

$$n + m \log_2 m$$

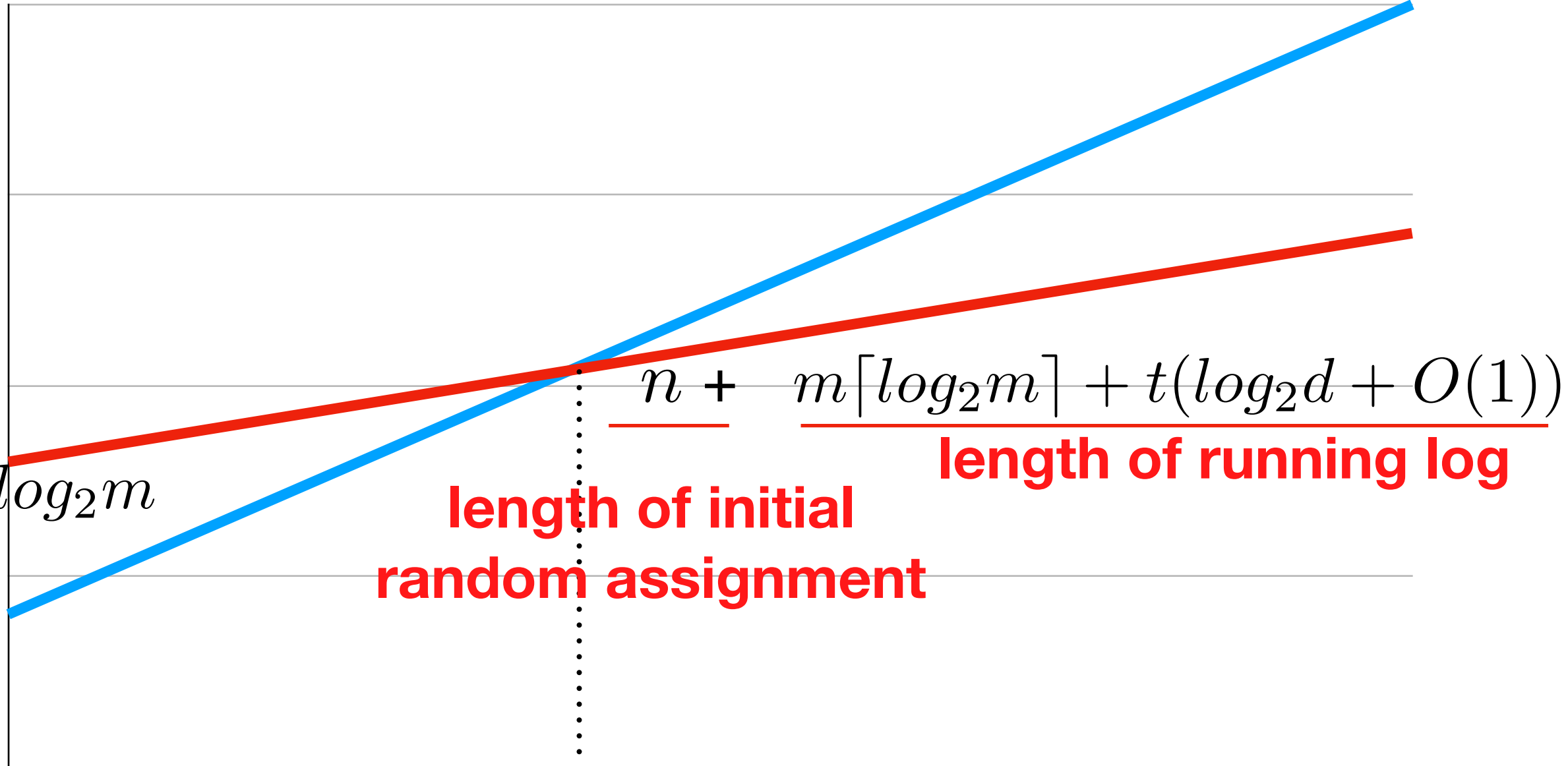
$$n$$

length of initial
random assignment

$$n + m \lceil \log_2 m \rceil + t(\log_2 d + O(1))$$

length of running log

t



length of bits

length of assignment random bits

$$n + tk$$

$$n + m \log_2 m$$

$$n$$

w.h.p

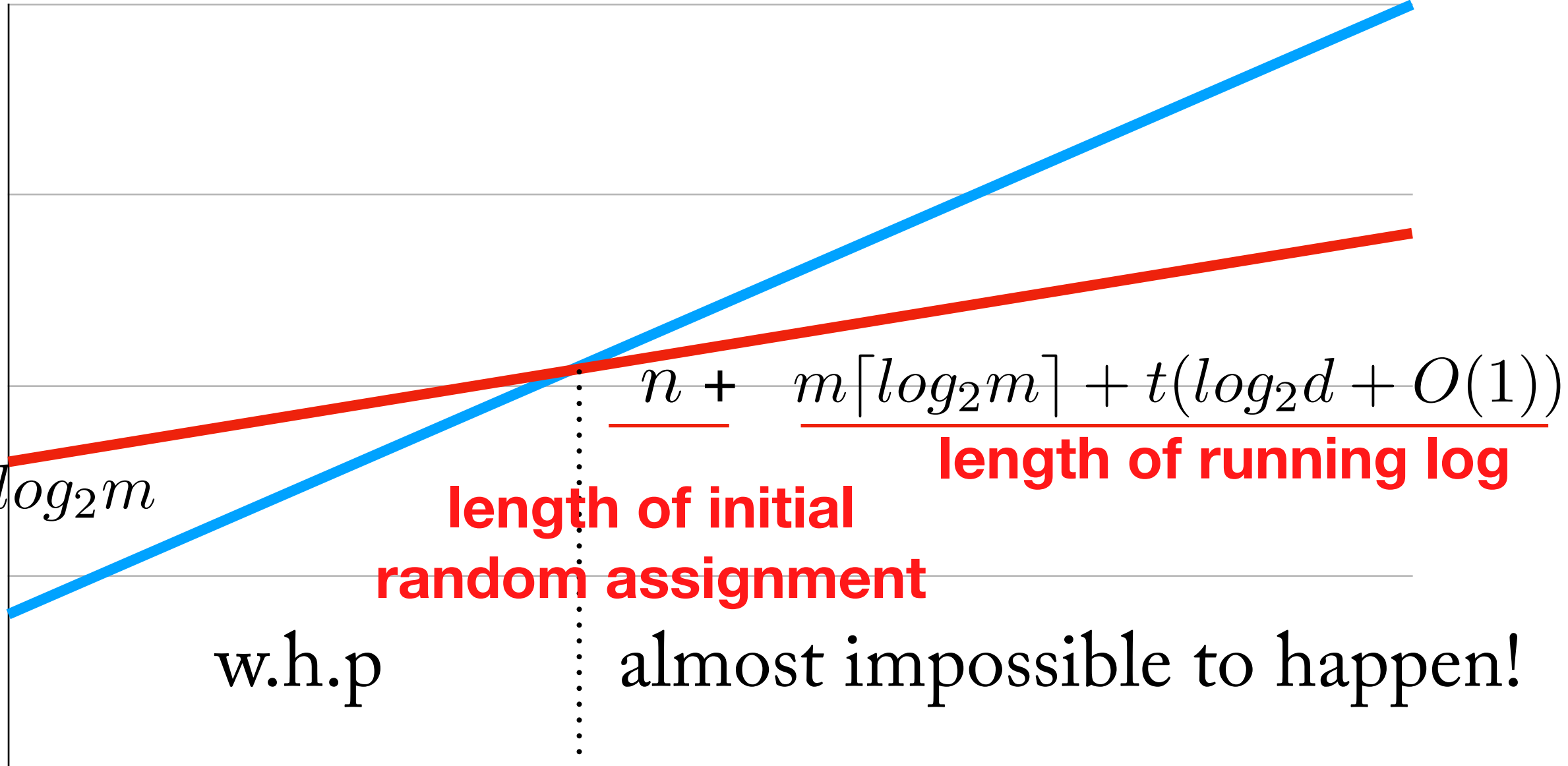
length of initial
random assignment

$$n + \frac{m \lceil \log_2 m \rceil + t(\log_2 d + O(1))}{k}$$

length of running log

almost impossible to happen!

t



length of bits

length of assignment random bits

$$n + tk$$

$$n + m \log_2 m$$

$$n$$

length of initial
random assignment

$$n + \frac{m \lceil \log_2 m \rceil + t(\log_2 d + O(1))}{k}$$

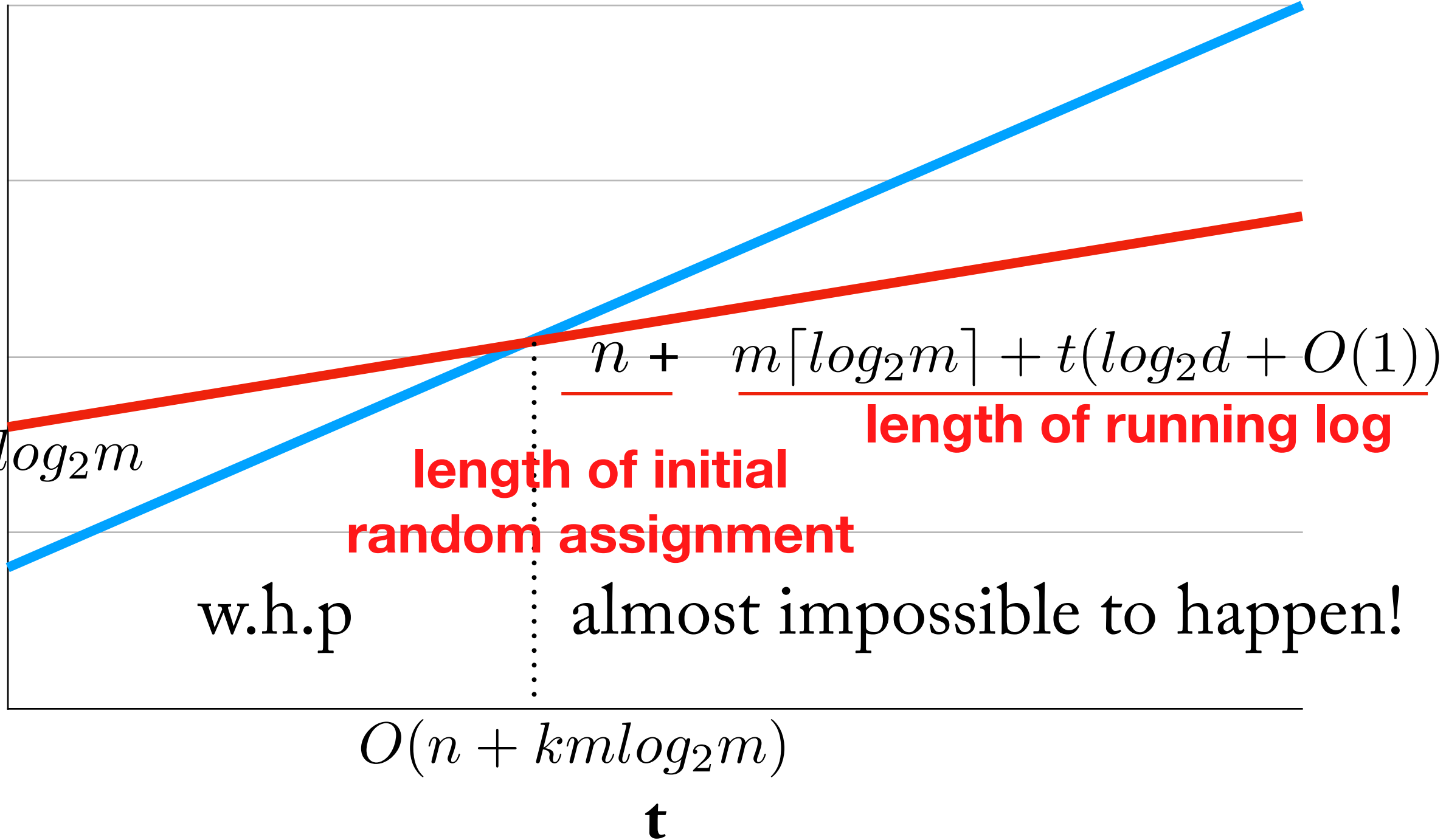
length of running log

w.h.p

almost impossible to happen!

$$O(n + km \log_2 m)$$

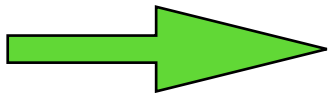
t



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Acknowledgement

Prof. Yitong Yin, Nanjing University

A majority of pages of this slides are made using reference
of Prof. Yin slides and materials in class

Reference

[1]A constructive Proof of the Lovasz Local Lemma, Robin A.Moser

[2][https://terrytao.wordpress.com/2009/08/05/
mosers-entropy-compression-argument/](https://terrytao.wordpress.com/2009/08/05/mosers-entropy-compression-argument/)

[3]<http://tcs.nju.edu.cn/slides/aa2017/LLL.pdf>