

Fourier Analysis on Boolean Functions and its Applications

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Boolean Functions

$$f : \{0, 1\}^n \rightarrow \{0, 1\}$$

Alternative representation: $f : \{1, -1\}^n \rightarrow \{1, -1\}$

$$\begin{aligned} MAX_2 : \{+1, -1\}^2 &\rightarrow \{+1, -1\} \\ MAX_2(+1, +1) &= +1 \\ MAX_2(-1, +1) &= +1 \\ MAX_2(+1, -1) &= +1 \\ MAX_2(-1, -1) &= -1 \end{aligned}$$

Majority: $Maj(x_1, \dots, x_n) = \text{sgn}(x_1 + \dots + x_n)$

Dictator: $Dic_i(x_1, \dots, x_n) = x_i$

Voting Scheme

- n (odd number) voters, 2 candidates: “Majority”
 - 3 or more candidates?
 - 35% $a > b > c$
 - 25% $b > a > c$
 - 40% $c > a > b$
- 60% people hate c !



Voting Scheme

- n (odd number) voters, 2 candidates: “Majority”
- 3 or more candidates?

35% $a > b > c$

25% $b > a > c$

40% $c > a > b$

(+1, +1, -1)
(-1, +1, -1)
(+1, -1, +1)

$(f(x), f(y), f(z))$

“rational result” $(f(x), f(y), f(z)) \neq (-1, -1, -1) \text{ or } (1, 1, 1)$

“Condorcet Election” Always has a rational result?



Majority doesn't always work

$$Maj(x_1, \dots, x_n) = \text{sgn}(x_1 + \dots + x_n)$$

34% $a > b > c$

33% $b > a > c$

32% $c > a > b$

No Condorcet Winner!

f is Maj

Does “ideal” function exist?

Ideal Voting Scheme?

Arrow's Impossibility Theorem

Suppose $f : \{-1, 1\}^n \rightarrow \{-1, 1\}$

is a voting rule used in a 3-candidate Condorcet election such that there is always a Condorcet winner and f is unanimous.

Then f is a dictator function

$$f(-1, -1, \dots, -1) = -1$$

$$f(1, 1, \dots, 1) = 1$$

$$Dic_i(x_1, \dots, x_n) = x_i$$

Proved by Fourier Analysis on Boolean functions

Outline

1. Basic Concepts and Notations

2. Examples of Applications

2.1 Probabilistic Inference

2.2 Model Counting

2.3 Other Applications

3. Summary

Vector Representation of Boolean Functions

$$MAX_2(+1, +1) = +1$$

$$MAX_2(-1, +1) = +1$$

$$MAX_2(+1, -1) = +1$$

$$MAX_2(-1, -1) = -1$$

$$\begin{bmatrix} +1 \\ +1 \\ +1 \\ -1 \end{bmatrix}$$

Vector Representation of
Boolean functions

$f : \{1, -1\}^n \rightarrow \{1, -1\}$ can be represented by

vector basis of R^{2^n}

Inner Product of Two Boolean Functions

$$\begin{aligned}\langle f, g \rangle &= \frac{1}{2^n} \sum_{x \in \{+1, -1\}^n} f(x)g(x) \\ &= E_x[f(x)g(x)]\end{aligned}$$

f and g are **orthonormal** iff $\langle f, g \rangle = 0$

Representation Boolean Function by Orthonormal Basis

$f : \{1, -1\}^n \rightarrow R$ can be represented by

$$v \in R^{2^n}$$

n=2	$[1, 0, 0, 0]$	$[0, 1, 0, 0]$	$[0, 0, 1, 0]$	$[0, 0, 0, 1]$
	$\mathbb{I}_{(+1,+1)}$	$\mathbb{I}_{(+1,-1)}$	$\mathbb{I}_{(-1,+1)}$	$\mathbb{I}_{(-1,-1)}$

$$f(x) = \sum_{a \in \{-1,1\}^n} \mathbb{I}_a(x) f(a)$$

Represent $MAX_2(x)$

$$\begin{array}{cccc} \mathbf{n=2} & [1, 0, 0, 0] & [0, 1, 0, 0] & [0, 0, 1, 0] & [0, 0, 0, 1] \\ & \mathbb{I}_{(+1,+1)} & \mathbb{I}_{(+1,-1)} & \mathbb{I}_{(-1,+1)} & \mathbb{I}_{(-1,-1)} \end{array}$$

$$f(x) = \sum_{a \in \{-1, 1\}^n} \mathbb{I}_a(x) f(a)$$

$$\begin{aligned} MAX_2(x) &= \left(\frac{1+x_1}{2}\right)\left(\frac{1+x_2}{2}\right)1 \\ &\quad + \left(\frac{1-x_1}{2}\right)\left(\frac{1+x_2}{2}\right)1 \\ &\quad + \left(\frac{1+x_1}{2}\right)\left(\frac{1-x_2}{2}\right)1 \\ &\quad + \left(\frac{1-x_1}{2}\right)\left(\frac{1-x_2}{2}\right)(-1) = \frac{1}{2} + \frac{1}{2}x_1 + \frac{1}{2}x_2 - \frac{1}{2}x_1x_2 \end{aligned}$$

Multilinear Polynomials

$$MAX_2(x) = \frac{1}{2} + \frac{1}{2}x_1 + \frac{1}{2}x_2 - \frac{1}{2}x_1x_2$$

multilinear polynomials!

$1, x_1, x_2, x_1x_2$

$\emptyset \quad \{1\} \quad \{2\} \quad \{1, 2\}$

Every term corresponds to a subset of $[n]$!

Parity Functions

$$\chi_S = \prod_{i \in S} x_i, S \subseteq [n] \quad \text{e.g. } x_1 x_2 x_5, x_3 x_7 x_9 x_{10}$$

Compute the parity of number of -1s in x_S

$$\langle \chi(S), \chi(T) \rangle = \begin{cases} 0, & S \neq T \\ 1, & S = T \end{cases}$$

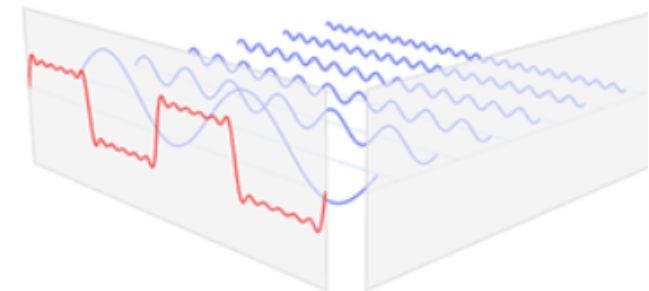
Parity functions $\{ \chi_S \mid S \subseteq [n] \}$

are orthonormal basis!

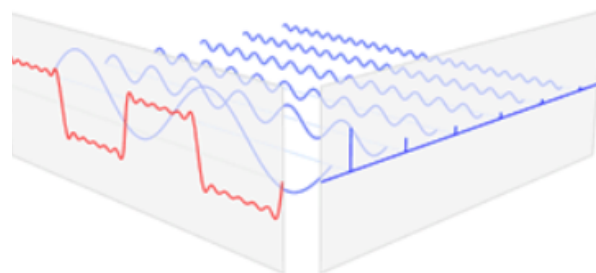
Fourier Analysis in Signal Processing



$$a_n \cos(nx) + b_n \sin(nx)$$



Time domain



Frequency domain

$$f(t) = \frac{1}{2}a_0 + \sum_{w=1}^{\infty} (a_w \cos(wx) + b_w \sin(wx))$$

Fourier Analysis in Signal Processing

$$f(t) = \frac{1}{2}a_0 + \sum_{w=1}^{\infty} (a_w \cos(wx) + b_w \sin(wx))$$

$$a_w = \frac{1}{T} \int_0^T f(t) \sin\left(\frac{2\pi wt}{T}\right)$$

$$MAX_2(x) = \frac{1}{2} + \frac{1}{2}x_1 + \frac{1}{2}x_2 - \frac{1}{2}x_1x_2$$

$$\frac{1}{2} \chi_{\emptyset} + \frac{1}{2} \chi_{\{x_1\}} + \frac{1}{2} \chi_{\{x_2\}} - \frac{1}{2} \chi_{\{x_1, x_2\}}$$

Fourier Transform on Boolean Function

$$f(t) = \frac{1}{2}a_0 + \sum_{w=1}^{\infty} (a_w \cos(wx) + b_w \sin(wx))$$

$$a_w = \frac{1}{T} \int_0^T f(t) \sin\left(\frac{2\pi wt}{T}\right)$$

$$MAX_2(x) = \frac{1}{2} \chi_{\emptyset} + \frac{1}{2} \chi_{\{x_1\}} + \frac{1}{2} \chi_{\{x_2\}} - \frac{1}{2} \chi_{\{x_1, x_2\}}$$

$\hat{f}(\emptyset) \quad \hat{f}(\{x_1\}) \quad \hat{f}(\{x_2\}) \quad \hat{f}(\{x_1, x_2\})$

$$\hat{f}(S) = \langle \chi_S, f \rangle = \frac{1}{2^n} \sum_x \chi_S(x) f(x)$$

Projection of f on χ_S !

Fourier Transform on Boolean Function

$$\hat{f}(S) = \langle \chi_S, f \rangle = \frac{1}{2^n} \sum_x \chi_S(x) f(x)$$

Fourier Transform

$$f(x) = \sum_{S \subseteq [n]} \hat{f}(S) \chi_S(x)$$

Fourier Transform on Boolean Function

$$\hat{f}(S) = \langle \chi_S, f \rangle = \frac{1}{2^n} \sum_x \chi_S(x) f(x)$$

Fourier Transform

$$f(x) = \sum_{S \subseteq [n]} \hat{f}(S) \chi_S(x)$$

$$MAX_2(x) = \frac{1}{2} + \frac{1}{2}x_1 + \frac{1}{2}x_2 - \frac{1}{2}x_1x_2$$

$$\widehat{MAX_2}(\{x_1\}) = \langle MAX_2, \chi_{\{x_1\}} \rangle = \frac{1}{4} \begin{bmatrix} +1 \\ +1 \\ +1 \\ -1 \end{bmatrix} \begin{bmatrix} +1 \\ -1 \\ +1 \\ -1 \end{bmatrix} = \frac{1}{2}$$

Plancherel and Parseval

Plancherel's Theorem

$$\langle f, g \rangle = \sum_{S \in [n]} \hat{f}(S) \hat{g}(S)$$

Parseval's Theorem

$$\sum_{S \in [n]} \hat{f}(S)^2 = \langle f, f \rangle = 1$$

(Conservation of Energy)

Fourier Spectrum

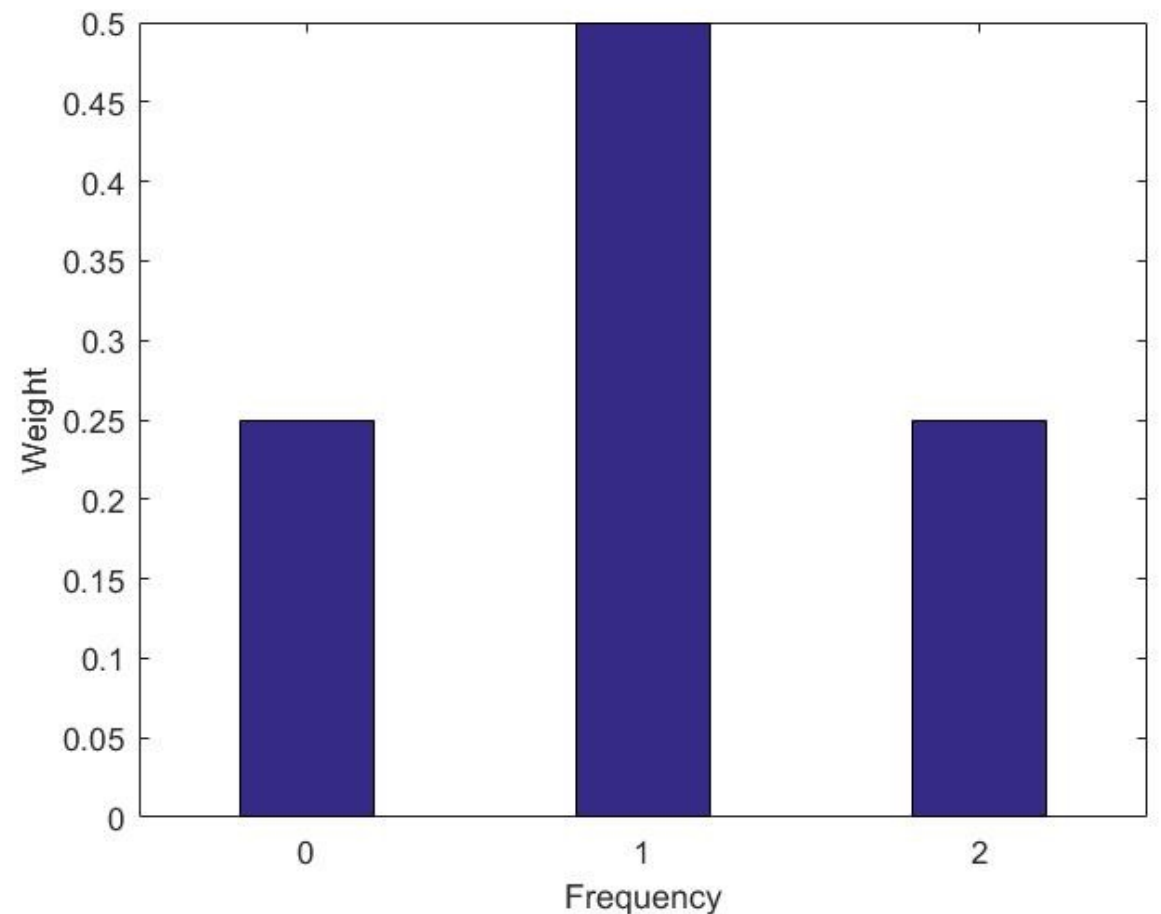
$$W_k = \sum_{|S|=k} \hat{f}(S)^2$$

$$\sum_k W_k = 1$$

Fourier Spectrum

$$W_k = \sum_{|S|=k} \hat{f}(S)^2$$

$$MAX_2(x) = \frac{1}{2} + \frac{1}{2}x_1 + \frac{1}{2}x_2 - \frac{1}{2}x_1x_2$$



$k = 0$: $\hat{f}(\emptyset) = E_x(f)$ “DC component”

small k : “Low frequency component”

large k : “High frequency component”

Noise Sensitivity

y : changing each bit of x with probability p

$$NS_p(f) = \Pr[f(x) \neq f(y)]$$

$$= \frac{1}{2} - \frac{1}{2} \sum_{S \subseteq [n]} (1 - 2p)^{|S|} \hat{f}(S)^2$$

$NS_p(f)$ is large



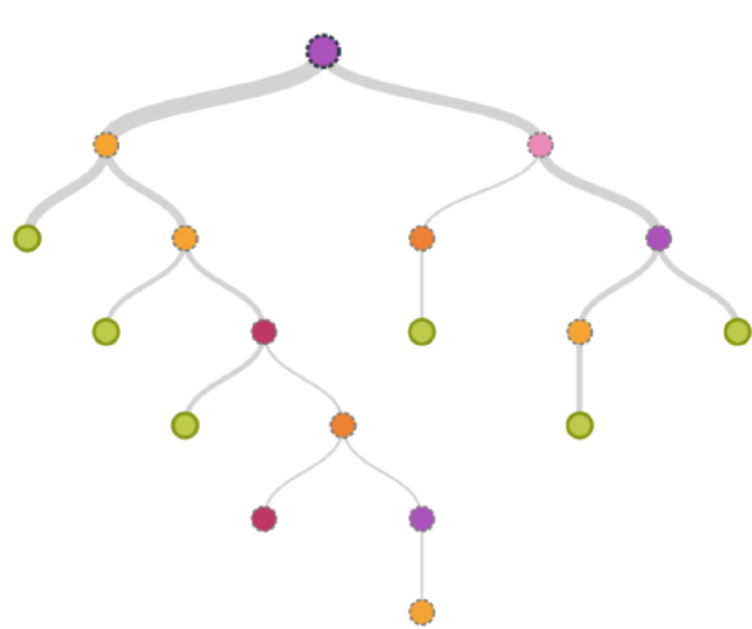
f has large weights on high-frequency component

Many Real-life Functions are Not Sensitive
with Low Frequency

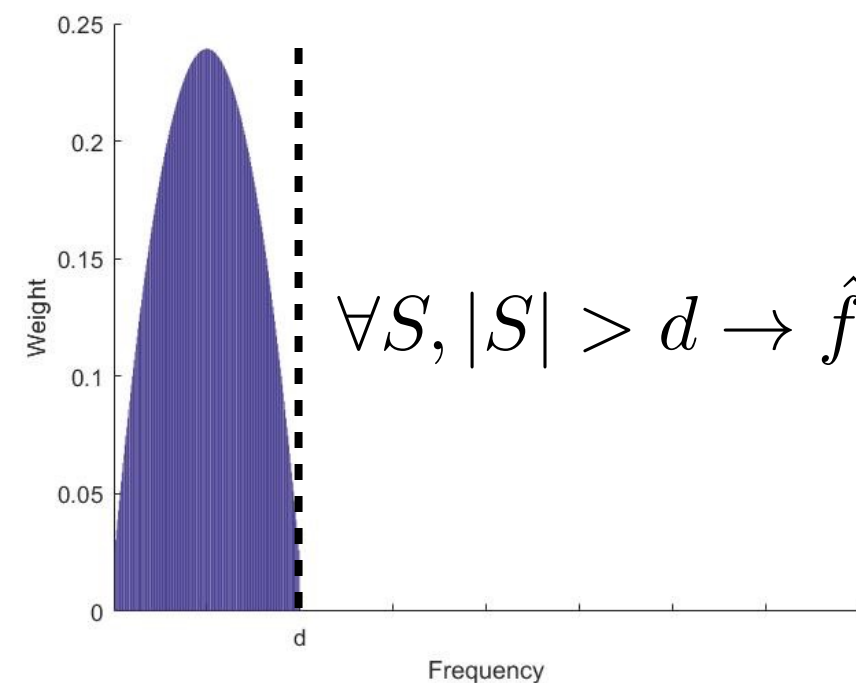
O'Donnell, 2008

f can be represented by a decision tree of depth d

$$\implies \forall S, |S| > d \rightarrow \hat{f}(S) = 0$$



$depth = d$



$$\forall S, |S| > d \rightarrow \hat{f}(S) = 0$$

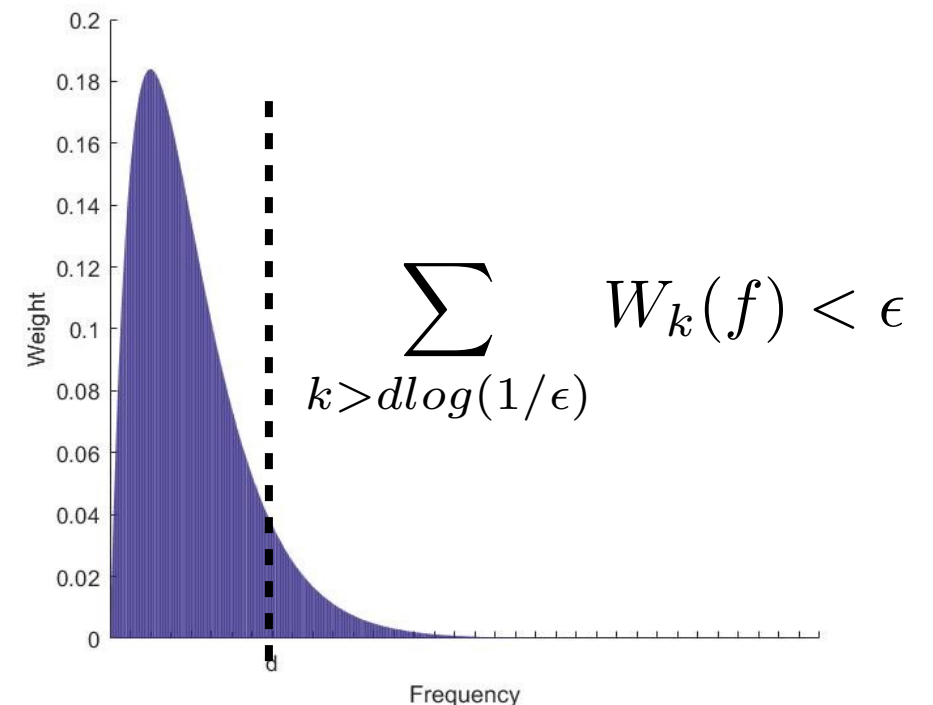
Many Real-life Functions are Not Sensitive
with Low Frequency

Linial, 1993

f can be represented by a CNF(DNF) with width d

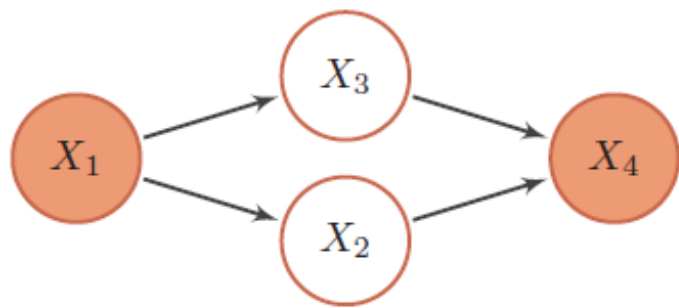
$$\implies \sum_{k > d \log(1/\epsilon)} W_k(f) < \epsilon$$

$$\bigvee_i (x_{i_1} \wedge x_{i_2} \wedge \cdots \wedge x_{i_d}) \xrightarrow{\text{width} = d} \Rightarrow$$



Application 1: Fourier Analysis in Probabilistic Inference

Graphic probabilistic Model



$$Pr[x_4 = 1 | x_2 = 1, x_3 = 0] = 0.3$$

$$Pr(x_4) = Pr(x_4 | x_2, x_3) Pr(x_3 | x_1) Pr(x_2 | x_1) Pr(x_1)$$

$$Pr(x) = \frac{1}{Z} \prod_{i=1}^k \underline{f_i(x)}$$

“factor”

“Partition function”: $Z = \sum_x \prod_{i=1}^k f_i(x)$

Counting is a special case of computing partition function

Variable Elimination

$$Z = \sum_x \prod_{i=1}^k f_i(x)$$

For every x_i compute

$$f_{x_i} = \prod_{x_i \in AP(f_i)}^k f_i(x, x_i = 0) + \prod_{x_i \in AP(f_i)}^k f_i(x, x_i = 1)$$

to eliminate x_i in all factors
until all variables are eliminated

Sum and Production of boolean functions

Exact computation of Z is intractable!

$f_i(x)$ usually concentrate on low frequency component

Keep Main Component

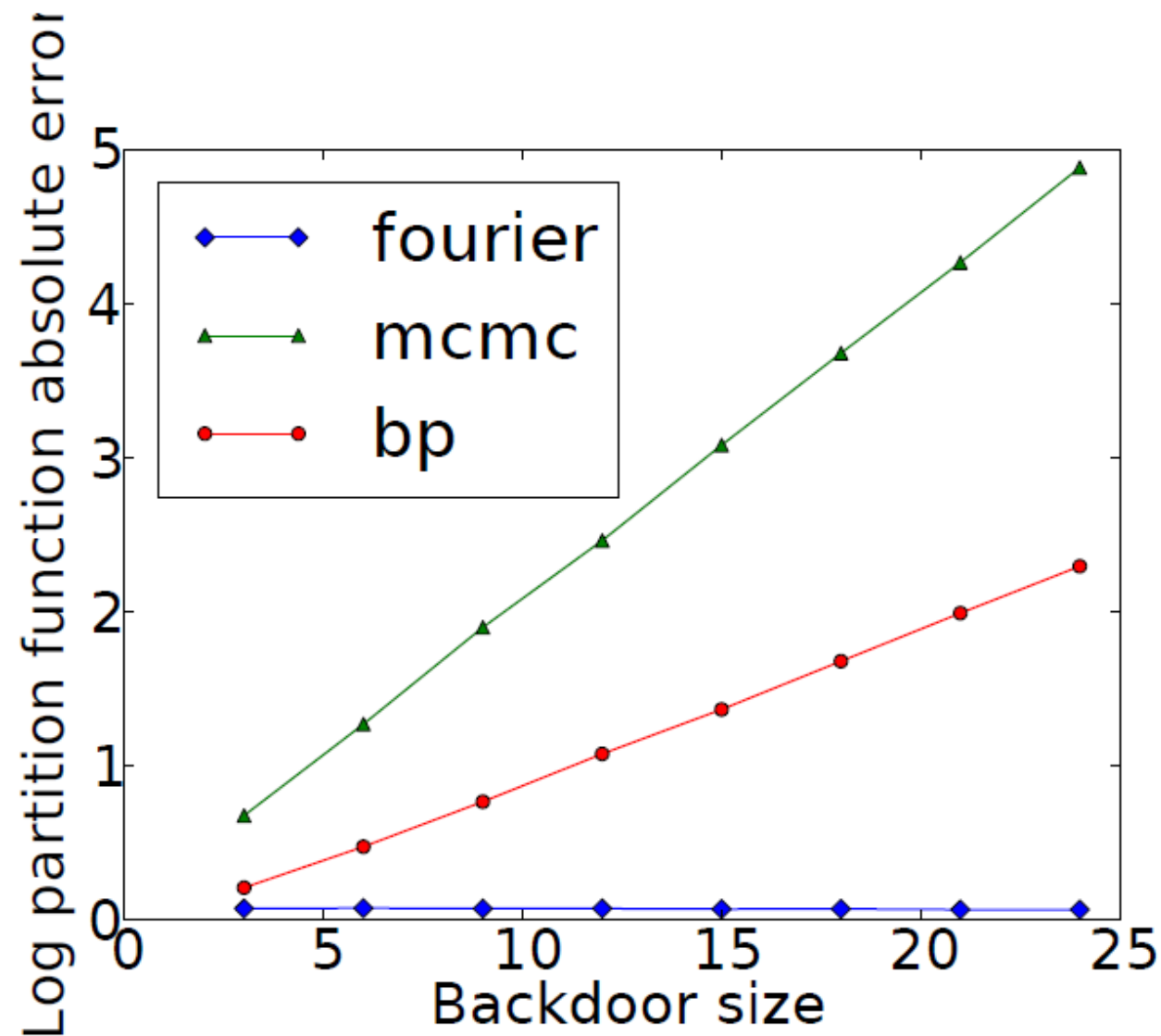
“Partition function” $Z = \sum_x \prod_{i=1}^k f_i(x)$

$f_i(x)$ usually concentrate on low frequency component

Only keep Fourier coefficients with $|S| < d$

	Point-value	$O(n2^n)$ $\Leftrightarrow$	Fourier Coefficient
Add	$O(2^n)$		$O(n^d)$
Mul	$O(2^n)$		$O(n^{2d})$

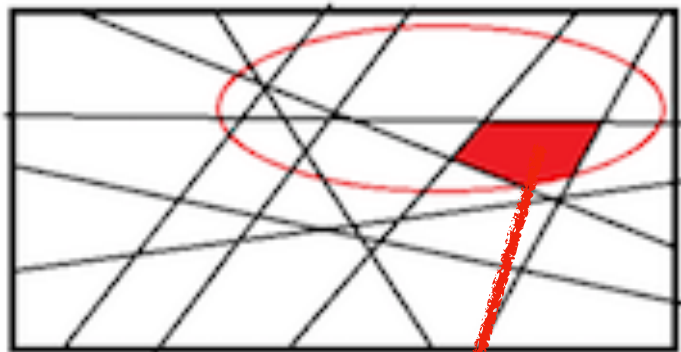
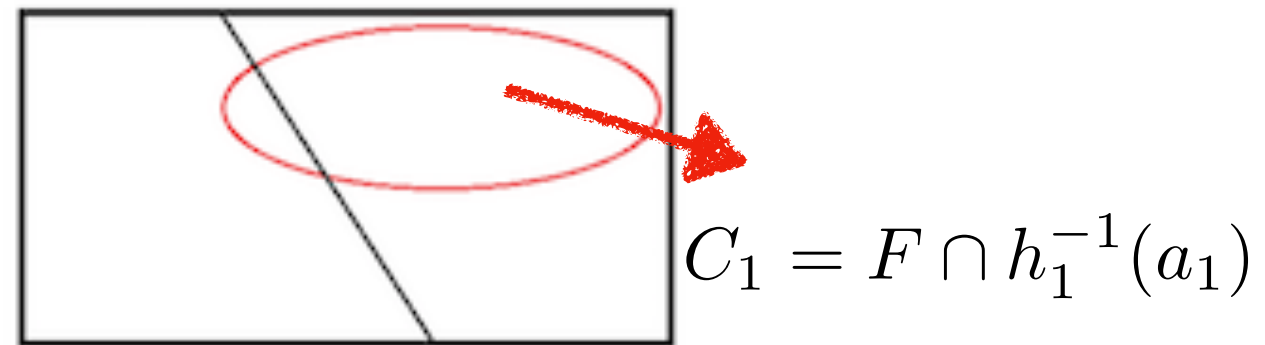
Computing Partition Function with Fourier Representation



Fourier method beats MCMC & Brief Propagation

Application 2: Hash-based Model Counting

Model Counting: $\#(\tau)$, $F(\tau) = 1$



$$C_m = F \cap h_1^{-1}(a) \cap \dots \cap h_m^{-1}(a_m)$$

$$E(|C_m|) = \frac{|F|}{2^m}$$

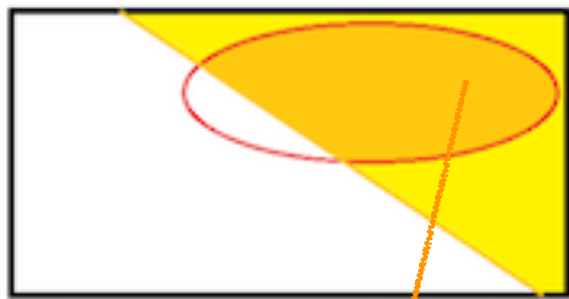
$$C = 2^m |C_m|$$

Arbitrary hash function
cannot guarantee each cell
contain approximately
same number of solutions

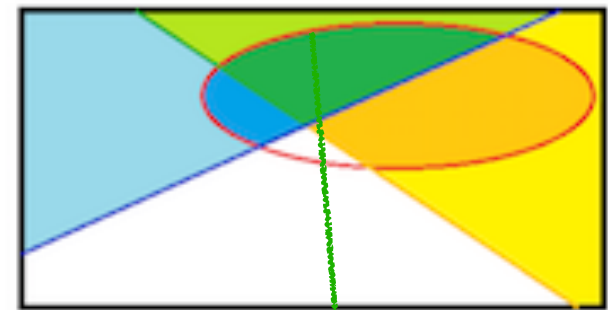
$Var(|C_m|)$ need to be controlled!

What is a Good Hash Function?

Use Majority as hash function:



$$F \cap h_1^{-1}(a_1)$$



$$C_2 = F \cap h_1^{-1}(a_1) \cap h_1^{-1}(a_2)$$

$Var(|F \cap h^{-1}(a)|)$ is large!

A good hash function should be
able to “split” close points

What is a Good Hash Function?

A good hash function should be
able to “split” close points

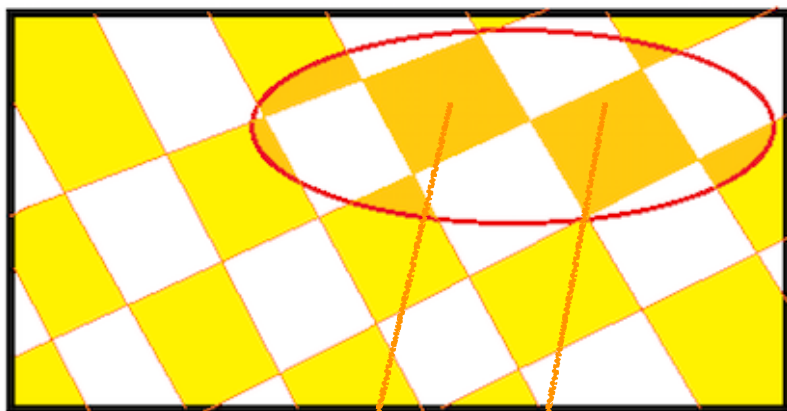
For “close” x and y ($distance(x, y) = d$ for small d)

$$NS'_d(f) = Pr[h(x) \neq h(y)] \sim W_{High\ freq}$$

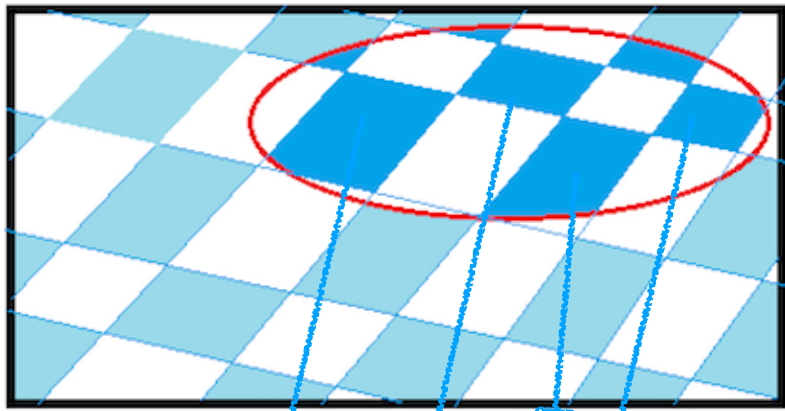
XOR is known to have maximum NS'_1

Counting by XORs

XOR is known to have maximum NS'_1

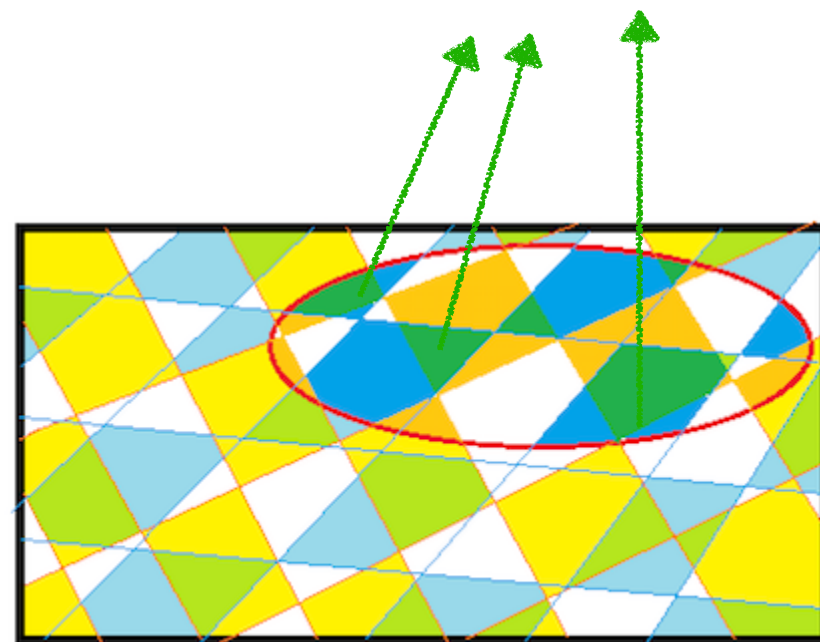


$$F \cap h_1^{-1}(a_1)$$



$$F \cap h_2^{-1}(a_2)$$

$$C_2 = F \cap h_1^{-1}(a_1) \cap h_1^{-1}(a_2)$$



Close points are “split”

$Var(|F \cap h^{-1}(a)|)$ is small!

Beyond XORs

- “Hard Problems are almost everywhere in CNF-XOR formulas”
- Monotone Boolean function: $f(x) \leq f(x, x_i = 1)$
e.g. Majority

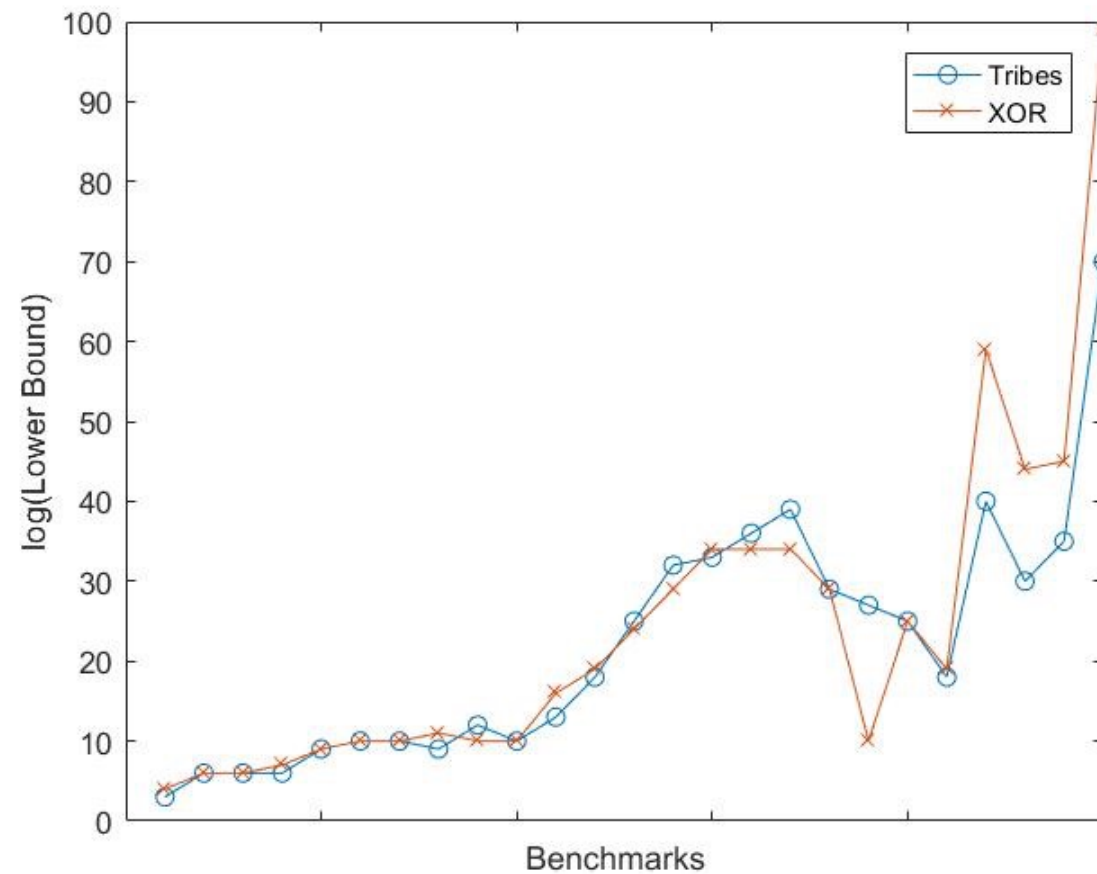
Monotone functions are easy to optimize

- Tribes Function:

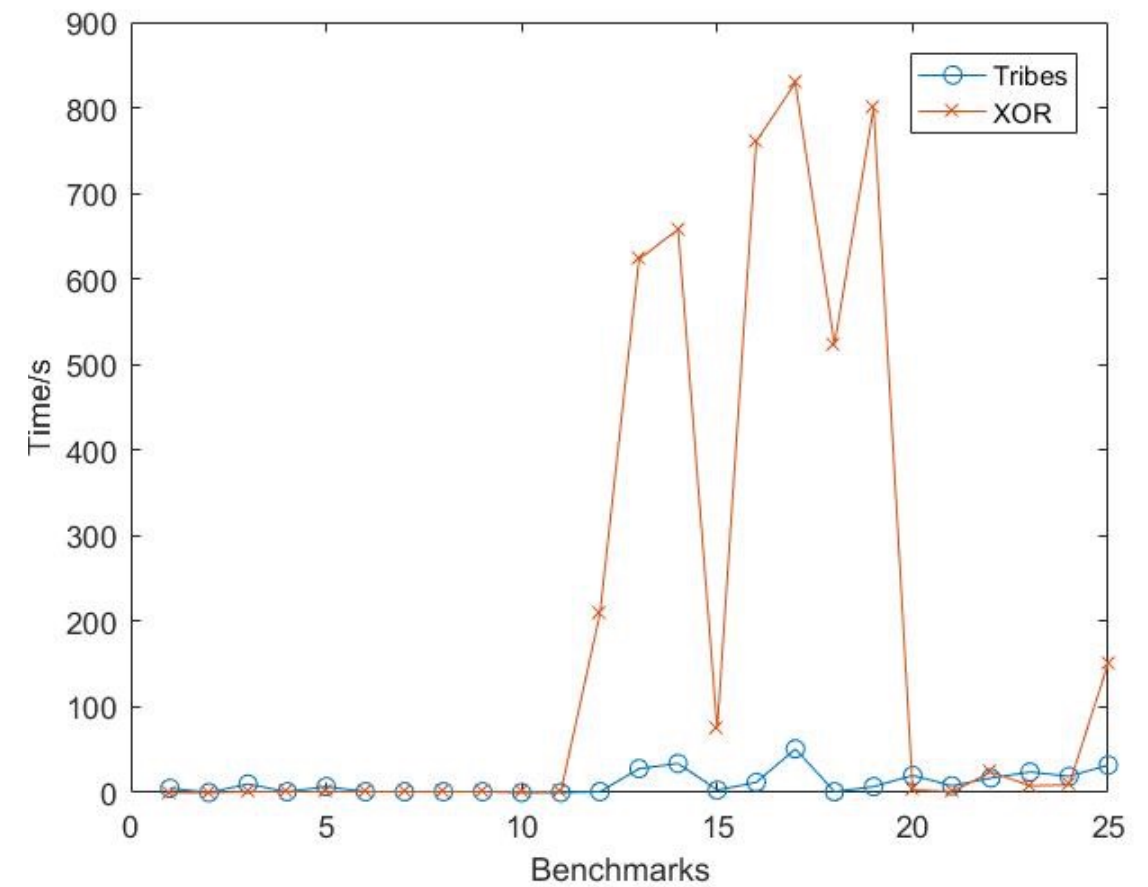
$$TRIBES(x_1, \dots, x_n) = \bigvee_{i=1}^b \left(\bigwedge_{j=1}^a x_{A_{ij}} \right)$$

- Tribes has maximum noise sensitivity among monotone functions

Hashing with XORs and Tribes



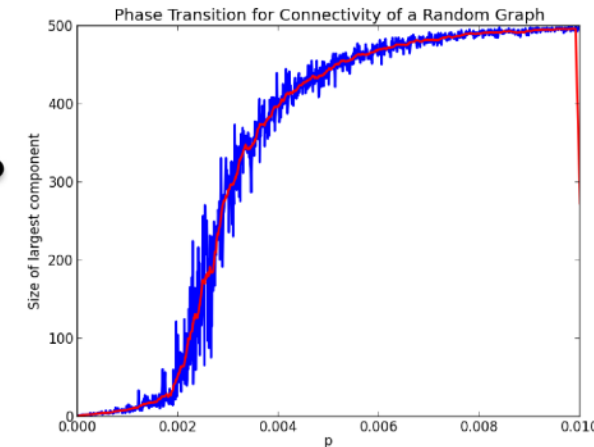
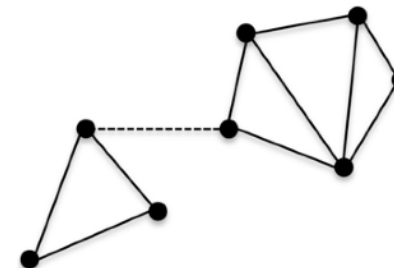
Lower Bound Estimation



Time

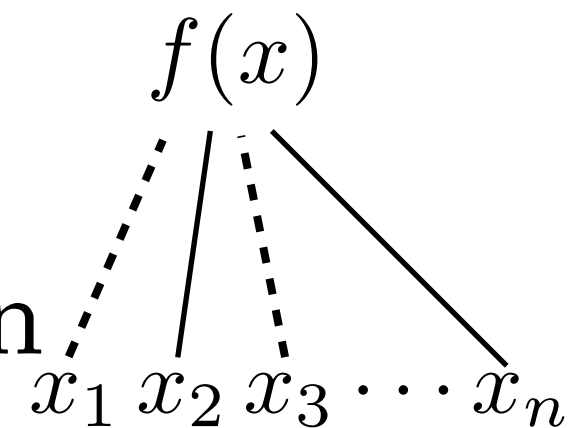
Other Applications

- Phase Transition Phenomena



How sharp is the phase transition of connectivity on random graph?

- Properties testing of Boolean Function



Test whether a boolean function only relies on k variables efficiently

- Proving hardness of Approximation

$$\begin{cases} x_1 + x_5 + x_3 = 0 \\ x_2 + x_8 + x_9 = 1 \\ x_3 + x_4 + x_7 = 0 \end{cases}$$

Max E3-LIN2

It's NP-hard to $(\frac{1}{2} + \epsilon)$ -approximate Max E3-LIN2 for any $\epsilon > 0$

Summary

Fourier Analysis on Boolean function is:

- A way to characterize boolean function on frequency view
- A powerful and versatile tool of both theoretical and practical interest
- An active field in theoretical computer science in last two decades

References

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